

Audits completed since the last Committee meeting (14th March 2022)

<u>Audit title</u>	<u>Critical Risk</u>	<u>High Risk</u>	<u>Medium Risk</u>	<u>Low Risk</u>	<u>Total no of Exceptions</u>	<u>Overall Assurance level</u>	<u>Summary</u>
Housing Benefits (covering Council Tax Reduction)	0	0	0	4	4	Assurance	<p>The 4 low risk exceptions raised related to:</p> <ul style="list-style-type: none"> • The majority of Benefits procedure notes not having been updated recently • A new Housing Benefits claim having no risk score • Short claim forms not including information about how the data provided will be used • Reconciliations between Northgate and Civica not including the name of the compiling officer, or the date of completion
Monitoring of S106 obligations & CIL liabilities across CDC	0	0	0	2	2	Assurance	<p>The 2 low risk exceptions raised related to:</p> <ul style="list-style-type: none"> • Developers' awareness that they need to give the S106 team notice of commencement of development • Completion and authorisation of S106/CIL reconciliations
Contract Management	0	0	0	1	1	Assurance	<p>The low risk exception raised related to:</p> <ul style="list-style-type: none"> • It being unknown whether signed contracts are being held securely
Dog Control	0	0	0	0	0	Assurance	N/A

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HR New Shortlisting and Interview Assessments Process	0	0	0	0	0	Assurance	N/A